



Form CRS PennHaven Brokerage Partners, LLC

4/14/2022

Introduction	<p>PennHaven Brokerage Partners, LLC (“PennHaven”, “we”, “our” or “us”) is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
What investment services can you provide me?	<p>PennHaven offers brokerage services and institutional trading solutions for asset managers and RIAs. PennHaven provides agency only equities and listed options trading execution services to customers. We also act as placement agent for private placement offerings. We do not make investment recommendations to retail investors. Our clients make their own investment decisions.</p> <p><i>Questions to ask your Broker:</i></p> <ul style="list-style-type: none"> - <i>Given my financial situation, should I choose a brokerage service? Why or why not?</i> - <i>What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?</i>
What fees will I pay?	<p>PennHaven charges a brokerage transaction fees when you buy or sell a security. For private placements, we typically receive compensation based on a percentage of the proceeds paid to the issuer from the sale of securities.</p> <p><i>Questions to ask your Broker:</i></p> <ul style="list-style-type: none"> - <i>Help me understand how these fees and costs might affect my investments.</i> - <i>If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</i>
What are your legal obligations to me when providing recommendations? How else does your firm make money and what	<p>PennHaven does not provide recommendations. Though we do not provide recommendations, we must act in your best interest and not put our interest ahead of yours. The way we generate revenue may create some conflicts of interest.</p> <p>We receive payment for order flow from the clearing firm, market makers and other third parties through whom PennHaven executes customer orders.</p>



conflicts of interest do you have?	<p><i>Questions to ask your Broker:</i></p> <p><i>How might your conflicts of interest affect me, and how will you address them?</i></p>
How do your financial professionals make money	<p>PennHaven Financial Professionals do not receive commissions or other compensation related to client assets or clients' trading activities. PennHaven Financial Professionals receive salaries and discretionary based bonuses.</p>
Do you or your financial professionals have legal or disciplinary history?	<p>No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals. You will find additional information about our financial professionals at https://brokercheck.finra.org.</p> <p><i>Questions to ask your Broker:</i></p> <p><i>As a financial professional, do you have any disciplinary history? For what type of conduct?</i></p>
Additional Information	<p>For additional information about our services, visit our website [], or contact our Chief Compliance Officer Donald O'Hara, at 267.597.3849 or. dohara@pennhavenbp.com If you would like additional, up-to-date information or a copy of this disclosure, please call.</p> <p><i>Questions to Ask your Broker:</i></p> <ul style="list-style-type: none"> - <i>Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?</i> - <i>Who can I talk to if I have concerns about how this person is treating me and my investments?</i>